

# Nordea

## SRD II Disclosure Report Pricing Disclosure for Custody offering

August, 2020

### Introduction

In accordance with the implementation of Shareholder Rights Directive II (EU 2017/828) and applicable local transposition, Nordea will publish the applicable fees for the services it provides related to Article 3:

- 3a. Identification of Shareholders
- 3b. Transmission of information
- 3c. Facilitation of exercise of shareholder rights

Prices are described for Article 3 where Nordea is acting as an intermediary providing services to shareholders or other intermediaries, as stipulated in Chapter 1a.

Shareholder identification disclosure service is not subject for additional fees. For local retail prices, please see relevant price list.

### Prices

#### Meeting Service

	Denmark	Finland	Norway	Sweden	Other <sup>1</sup>
Per Re-registration <sup>2</sup>	500 DKK	50 EUR	500 NOK	500 SEK	200 EUR
Per Vote <sup>3</sup>	1500 DKK	150 EUR	1500 NOK	1500 SEK	200 EUR
Notification Charge					
per Message <sup>4</sup>	10 DKK	1 EUR	10 NOK	10 SEK	1 EUR

#### Corporate Action

	Denmark	Finland	Norway	Sweden	Other <sup>1</sup>
Notification Charge					
per Message <sup>4</sup>	10 DKK	1 EUR	10 NOK	10 SEK	1 EUR
Transaction Fee <sup>5</sup>					
per Event	100 DKK	10 EUR	100 NOK	100 SEK	10 EUR

### Additional Notes

- Disclosed fees are standalone charges and does not include safekeeping. Safekeeping & Custody agreements may include the services mentioned in this report.
- Prices listed in this report only applies to shares admitted to trading on a regulated market in the EEA, unless stated otherwise in local transposition.
- Additional charges from third party related to these services may be passed onto the client.
- Prices are exclusive of VAT and other similar charges.
- All prices disclosed in this report may be subject to change.

<sup>1</sup> Any other Member State where services are provided.

<sup>2</sup> Applicable where re-registration is a required step in the local market for the shareholder to be able to exercise its voting rights.

<sup>3</sup> Only offered in markets where legally required. Physical representation not included.

<sup>4</sup> Applicable charge for Corporate Action messages in ISO 15022 as well as ISO 20022

<sup>5</sup> Fee applicable on securities movement as a result from exercising shareholder rights on a voluntary corporate action event.