

Approved broker list

2016

Head of Trading, Miles Kumaresan
07/04/2016

Legal Name	City name	Country name	LEI
ABG Sundal Collier ASA	Oslo	Norway	2138005DRCU66B8BNY04
ABNAMRO Bank N.V	Amsterdam	The Netherlands	BFXS5XCH7N0Y05NIXW11
Ak Yatirim Menkul Degerler A.S	Istanbul	Turkey	789000ZSYXQD4Y7YRG72
Arctic Securities AS	Oslo	Norway	5967007LIEEXZX4RVS72
Aurel BGC SAS	Paris	France	5RJTDGZG4559ESIYLD31
Australia and New Zealand Banking Group Limited	Melbourne	Australia	JHE42UYNNWWTJB8YTTU19
AUTONOMOUS RESEARCH LLP	London	UK	213800LBM6PT85IGM996
Banca IMI S.p.A	Milan	Italy	QV4Q80GJ70A6PA8SCM14
Banco Bilbao Vizcaya Argentaria S.A	Bilbao	Spain	K8MS7FD7N5Z2WQ51A271
Banco Português de Investimento, S.A. (BPI)	Porto	Portugal	213800NGLJLXOSRPK774
BANCO SANTANDER S.A	Madrid	Spain	5493006QMFDDMYWIAM13
Bank Vontobel AG	Zurich	Switzerland	549300L7V4MGECYRM576
Barclays Bank PLC	London	UK	G5GSEF7VJP5I7OUK5573
Barclays Capital Securities Limited	London	UK	K9WDOH4D2PYBSLSOB484
Bayerische Landesbank	Munich	Germany	VDYMYTQGZZ6DU0912C88
BMO Capital Markets Limited	London	UK	L64HM9LHPDOS1B9HJC68
BNP Paribas SA	Paris	France	R0MUWSFPU8MPRO8K5P83
Canaccord Genuity Limited	London	UK	ZBU7V5V5NIMN4ILRFC23
Carnegie Holding AB	Stockholm	Sweden	529900BR5NZNQZEVQ417
China International Capital Corporation (UK) Limited	London	UK	213800STG3UV87MDGA96
Citigroup Global Markets Europe Limited	London	UK	5493004FUULDQTMX0W20
Citigroup Global Markets Limited	London	UK	XKZZ2JZF41MRHTR1V493
Clarksons Platou Securities AS	Oslo	Norway	5967007LIEEXZX40G44
CLSA (UK)	London	UK	213800VZMAGVIU2IJA72
Commerzbank AG	Frankfurt am Main	Germany	851WYGNLUQLFZBSYGB56
Coöperatieve Centrale Raiffeissen-Boerenleenbank B.A (called RABO)	Utrecht	The Netherlands	DG3RU1DBUFHT4ZF9WN62
Credit Agricole Corporate and Investment Bank	Paris	France	1VUV7VQFKUOQSJ21A208
Credit Suisse International	London	UK	E58DKGMJYYYJLN8C3868
Credit Suisse Securities (Europe) Limited	London	UK	DL6FFRRLF74S01HE2M14
Daiwa Capital Markets Europe Limited	London	UK	MIM2K09LFYD4IB163W58
Danmarks Nationalbank	Copenhagen	Denmark	549300AHYJ0OMBLIL335
Danske Bank AS	Copenhagen	Denmark	MAES062Z21O4RZ2U7M96
Danske Bank PLC, Finland	Helsinki	Finland	3BAUHUB4IZR54J3EPO08
DBS Vickers (Hong Kong) Limited	Hong Kong	Hong Kong	
Deutsche Bank AG	Frankfurt am Main	Germany	7LTWFZYICNSX8D621K86
DNB BANK ASA	Oslo	Norway	549300GKFG0RYRRQ1414
DZ Bank AG	Frankfurt am Main	Germany	529900HNOAA1KXQUJQ27
equinet Bank AG	Frankfurt am Main	Germany	5299005KU6Y55ZIAMZ56
EQUITA S.I.M. S.p.A	Milan	Italy	815600E3E9BFC8FAA85
Erik Penser Bankaktiebolag	Stockholm	Sweden	549300KK6GRS2OTFGK47
Erste Group Bank AG	Vienna	Austria	PQOH26KWDF7CG10L6792
Evli Bank Plc	Helsinki	Finland	743700VK1NB8HRGTQH74
EXANE SA	Paris	France	969500UP76J52A9OXU27
Fearnley Securities AS	Oslo	Norway	5967007LIEEXZX6W9S34
FIM Investment Services Ltd	Helsinki	Finland	743700S5G685581WOS44
Fondsfinans AS	Oslo	Norway	5967007LIEEXZX92Z414
Goldman Sachs International	London	UK	W22LROWP2IHZNBB6K528
Goodbody Stockbrokers	Dublin	Ireland	635400IL71G3SXS7OE16
HELVEA SA	Geneva	Switzerland	529900JRCAFXJDUTVV71
HSBC Bank Plc	London	UK	MP6I5ZYZBEU3UXPYFY54
Imperial Capital (International) LLP	London	UK	213800L9V84UL88WAV68
ING Bank N.V	Amsterdam	The Netherlands	3TK20IVIUJ8J3ZU0QE75
Instinet Europe Limited	London	UK	213800MXAKR2LA1VBM44
J.P. Morgan Chase Bank	New York	USA	7H6GLXDRUGQFU57RNE97
J.P. Morgan Securities plc	London	UK	K6Q0W1PS1L1O4IQL9C32
J_E Davy, t_a Davy	Dublin	Ireland	63540061DPCBNMCGRY22
Jefferies International Limited	London	UK	S5THZMDUJCTQZBTRVI98
Joh. Berenberg, Gossler and Co. KG	Hamburg	Germany	529900UC2OD7II24Z667
Jyske Bank AS	Silkeborg	Denmark	3M5E1GQGKL17HI6CPN30
KBC Bank NV	Brussels	Belgium	6B2PBRV1FCJDMR45RZ53
KCG Europe Limited	London	UK	549300346EFUPFCXJT79
Kempen and Co N.V	Amsterdam	The Netherlands	2O302V7616D3HTVSXO60
Kepler Cheuvreux SA	Paris	France	9695005EOZG9X8IRJD84
KNG Securities LLP	London	UK	213800GV7S9JSGKG9548
Landesbank Hessen-Thüringen Girozentrale	Frankfurt am Main	Germany	DIZES5CFO5K3I5R58746
LBBW Landesbank Baden-Württemberg	Stuttgart	Germany	B81CK4ESI35472RHJ606
Lind Capital Fondsmæglerselskab AS	Aarhus	Denmark	5299000RWD2J46DMCW33
Liquidnet Europe Limited	London	UK	
Lloyds Bank Plc	London	UK	H7FNTJ4851HG0EXQ1Z70
M.M.Warburg and CO (AG and Co.) KGaA	Hamburg	Germany	MZ11VDH2BQLFZGLQDO60
Macquarie Capital (Europe) Limited	London	UK	549300GX4FPMFF91RJ37
MainFirst Bank AG	Frankfurt am Main	Germany	529900MC68RTGHKI4F05
Mediobanca – Banca di Credito Finanziario S.p.A	Milan	Italy	PSNL19R2RXX5U3QWHI44

Legal Name	City name	Country name	LEI
Merrill Lynch International	London	UK	GGDZP1UYGU9STUHRDP48
Mitsubishi UFJ Securities International Plc	London	UK	U7M81AY481YLIOR75625
Mizuho International plc	London	UK	213800HZ54TG54H2KV03
Morgan Stanley and Co Intl PLC	London	UK	4PQUHN3JPFGFNF3BB653
Natixis	Paris	France	KX1WK48MPD4Y2NCUIZ63
Neonet Securities AB	Stockholm	Sweden	5493005E08IUYVTNQQ27
Nomura International plc	London	UK	DGQCSV2PHVF7I2743539
Norddeutsche Landesbank Girozentrale	Hannover	Germany	DSNHHQ2B9X5N6OUJ1236
Nordea Bank AB	Stockholm	Sweden	6SCPQ280AIY8EP3XFW53
Nordea Bank Finland Plc	Helsinki	Finland	CXW2O4H2U3MBVXMY1773
Nordic Fixed Income AB	Stockholm	Sweden	
Norne Securities AS	Bergen	Norway	5299001YA7DD6CGPIF92
Numis Securities Limited	London	UK	213800P3F4RT97WDSX47
Nykredit Bank AS	Copenhagen	Denmark	52965FONQ5NZKP0WZL45
OP Corporate Bank plc	Helsinki	Finland	549300NQ588N7RWKBP98
Oppenheimer Europe Ltd	London	UK	213800CH6IZ9J98PIS59
Pareto Securities AS	Oslo	Norway	549300H0QDQHRJXRM779
Piper Jaffray Ltd	London	UK	
Raymond James Financial International limited	London	UK	213800GH3F4OW1COOV72
RBC Europe Ltd	London	UK	TXDSU46SXBWIGJ8G8E98
Remium Nordic AB	Stockholm	Sweden	549300OOOV4TSXMOL590
Renaissance Capital Limited	London	UK	213800RZ3GCUXMBGYN59
Sanford C. Bernstein Limited	London	UK	54930049G8WQ5OOUSD19
Shore Capital Stockbrokers limited	London	UK	2138009KZO3MK7TV6372
Skandinaviska Enskilda Banken AB (publ)	Stockholm	Sweden	F3JS33DEI6XQ4ZBPTN86
Societe Generale SA	Paris	France	O2RNE8IBXP4R0TD8PU41
Spar Nord Bank AS	Aalborg	Denmark	549300DHT635Q5P8J715
SpareBank 1 SMN	Trondheim	Norway	7V6Z97IO7R1SEAO84Q32
Sparebank 1 SR-Bank ASA	Stavanger	Norway	549300Q3OIWRHQUM052
SpareBank1 Markets AS	Oslo	Norway	5967007LIEEXZX5AEG57
Stifel Nicolaus Europe Limited	London	UK	213800BVEFNZ8UYPKL03
Svenska Handelsbanken AB Publ	Stockholm	Sweden	NHBDILHZTYCNBV5UYZ31
Swedbank AB	Stockholm	Sweden	M312WZV08Y7LYUC71685
Sydbank AS	Aabenraa	Denmark	GP5DT10VX1QRQUKVBK64



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The Royal Bank of Scotland plc	Edinburgh	UK	RR3QWICWWIPCS8A4S074
Tullett Prebon (Securities) Ltd	London	UK	549300BMVW85YF9FGN67
UBS AG	Zurich	Switzerland	BFM8T61CT2L1QCEMIK50
UBS Limited	London	UK	REYPIEJN7XZHSUI0N355
UniCredit Bank AG	Munich	Germany	2ZCNRR8UK83OBTEK2170
Wells Fargo Securities International Limited	London	UK	BWS7DNS2Z4NPKPNYKL75
William Blair International Ltd	London	UK	213800D836LM6FFK9U60

Brokers approved for Client Specific requests Only

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AKTIESELSKABET ARBEJDERNES LANDSBANK	Copenhagen	Denmark	549300D6BJ7XOO03RR69
B. Metzler seel. Sohn and Co. Kommanditgesellschaft auf Aktien	Frankfurt am Main	Germany	529900IOG1ENLW4SUU53
Banque Pictet and Cie SA	Geneva	Switzerland	4LCYDN74UCFU5VPM4774
BNP PARIBAS Securities Services	Paris	France	549300WCGB70D06XZS54
DekaBank, Deutsche Girozentrale	Frankfurt am Main	Germany	0W2PZJM8XOY22M4GG883
Deutsche Apotheker- und Ärztebank	Dusseldorf	Germany	5299007S3UH5RKUYDA52
Flow Traders BV	Amsterdam	The Netherlands	549300CLJ9XDH12XV51
Goldman Sachs International Bank	London	UK	N9FYJ29MC81JI74MJE92
HSBC Bank USA NA	New York	USA	1IE8VN30JCEQV1H4R804
HSBC Trinkaus Burkhardt AG	Dusseldorf	Germany	JUNT405OW8OY5GN4DX16
Mako Financial Markets Partnership LLP	London	UK	213800TPPAPTYLS8Z364
Nordea Bank SA	Luxembourg	Luxembourg	549300HRD8DR1Q6K8X60
RBC Investor Services Bank SA	Luxembourg	Luxembourg	549300IVXKQHV6O7PY61
Sparebanken Vest	Bergen	Norway	213800M7T3CYVZ3ZRT12
State Street Bank and Trust Company	New York	USA	571474TGEMMWANRLN572
State Street Bank GMBH	Frankfurt am Main	Germany	ZMHGNT7ZPKZ3UFZ8EO46
The Bank of New York Mellon	New York	USA	HPFHU0OQ28E4N0NFVK49
The Bank of New York Mellon SA NV	Brussels	Belgium	MMYX0N4ZEZ13Z4XCG897
The Northern Trust Company	Chicago	USA	6PTKHDJ8HDUF78PFWH30
WGZ BANK AG Westdeutsche G	Dusseldorf	Germany	EFHQAFG69S4HKHLIZA14