

# Approved broker list

2019

Global Head of Trading, Thomas Vinding  
25-03-2020

Legal Name	City of Domicile	Country of Domicile	LEI
ABG Sundal Collier ASA	Oslo	Norway	2138005DRCU66B8BNY04
ABN AMRO Bank N.V.	Amsterdam	The Netherlands	BFXS5XCH7NOY05NIXW11
AFS Interest BV	Amsterdam	The Netherlands	7245004T3GVMWC5LP916
Amherst Pierpont Securities LLC	New York	USA	549300LGG2RLWD215U97
Arctic Securities AS	Oslo	Norway	5967007LIEEXZ4RVS72
Aurel BGC SAS	Paris	France	5RJTDBG2G4559ESIYLD31
Australia and New Zealand Banking Group Limited	Melbourne	Australia	JHE42UYNWWWTJB8YTTU19
AUTONOMOUS RESEARCH LLP	London	UK	213800LBM6PT85IGM996
Banca IMI S.p.A	Milan	Italy	QV4Q8OGJ70A6PA8SCM14
Banco Bilbao Vizcaya Argentaria S.A	Bilbao	Spain	K8MS7FD7N5Z2WQ51A271
BANCO SANTANDER S.A	Madrid	Spain	5493006QMFDDMYWIAM13
Bank Vontobel AG	Zurich	Switzerland	549300L7V4MGECYRM576
Barclays Bank Ireland plc	Dublin	Ireland	2G5BKIC2CB69PRJH1W31
Barclays Bank PLC	London	UK	G5GSEF7VJP5I7OUK5573
Barclays Capital Securities Limited	London	UK	K9WDOH4D2PYBSLSOB484
Bayerische Landesbank	Munich	Germany	VDYMYTQGZ26DU0912C88
BCS Prime Brokerage Limited	London	UK	213800UU8AHE2B6QUI26
BGC Brokers LP	London	UK	ZWNFQ48RUL8VJZ2AIC12
BNP Paribas SA	Paris	France	ROMUWSFPU8MPRO8K5P83
BofA Securities Europe SA	Paris	France	549300FH0WJAPHTIQ77
Carnegie AS Norway	Oslo	Norway	5967007LIEEXZ57BC18
Carnegie Investment Bank AB (publ)	Stockholm	Sweden	529900BR5NZNQZEVQ417
CF Global Trading, LLC	New York	USA	549300Y08TJ4I4APO417
China International Capital Corporation (UK) Limited	London	UK	213800STG3UV87MDGA96
Citigroup Global Markets Europe AG	Frankfurt am Main	Germany	6TJCK1B7E7UTXP528Y04
Citigroup Global Markets Limited	London	UK	XKZZ2JZF41MRHTR1V493
Clarksons Platou Securities AS	Oslo	Norway	5967007LIEEXZA40G44
CLSA (UK)	London	UK	213800VZMAGVIU2IA72
CLSA Europe BV	Amsterdam	The Netherlands	213800DPWK1AXSAJZM30
Commerzbank AG	Frankfurt am Main	Germany	851WYGNLUQLFZBSYGB56
Coöperatieve Centrale Raiffeissen-Boerenleenbank B.A (called RABO)	Utrecht	The Netherlands	DG3RU1DBUFHT4ZF9WN62
Cowen Execution Services Limited	London	UK	213800SS94VGJTFLLG64
Credit Agricole Corporate and Investment Bank	Paris	France	1VUV7VQFKUOQSJ21A208
Credit Suisse International	London	UK	E58DKGMJYYYJLN8C3868
Credit Suisse Securities (Europe) Limited	London	UK	DL6FFRRLF74S01HE2M14
Credit Suisse Securities, Sociedad de Valores S.A	Madrid	Spain	959800TMGPWX7NYM4R72
Daiwa Capital Markets Europe Limited	London	UK	MIM2K09LFYD4IB163W58
Danmarks Nationalbank	Copenhagen	Denmark	549300AHYJ00MBLIL335
Danske Bank AS	Copenhagen	Denmark	MAES062Z2104RZ2U7M96
DekaBank Deutsche Girozentrale	Frankfurt am Main	Germany	0W2PZJM8XOY22M4GG883
Deutsche Bank AG	Frankfurt am Main	Germany	7LTFZYICNSX8D621K86
DNB BANK ASA	Oslo	Norway	549300GKFGORYRRQ1414
DSP Merrill Lynch Limited	Mumbai	India	549300A5QXDCAXLKH833
DZ Bank AG	Frankfurt am Main	Germany	529900HNOAA1KXQJUQ27
EQUITA S.I.M. S.p.A	Milan	Italy	815600E3E9BFC8FAA85
Erik Penser Bankaktiebolag	Stockholm	Sweden	549300KK6GRS2OTFGK47
Erste Group Bank AG	Vienna	Austria	PQOH26KWDF7CG10L6792
Evli Bank Plc	Helsinki	Finland	743700VK1NB8HRGTQH74
EXANE SA	Paris	France	969500UP76J52A9OXU27
Exotix Partners LLP	London	UK	2138002GNKN2GGDA1W76
Fearnley Securities AS	Oslo	Norway	5967007LIEEXZ6W9S34
Flow Traders BV	Amsterdam	The Netherlands	549300CLJ9XDH12XV51
Forte Securities	London	UK	213800TE1VETDDTPKN12
Goldman Sachs Bank Europe SE	Frankfurt am Main	Germany	8IBZUGJ7JPLH368JE346
Goldman Sachs International	London	UK	W22LROWP2IHZNBB6K528
HSBC Bank Plc	London	UK	MP6I5ZYBEU3UXPYFY54
HSBC FRANCE	Paris	France	F0HUI1NY1AZMJMD8LP67
ICAP Securities Limited	London	UK	213800NMEZS3MD2IUP33
ICBC Standard Bank Plc	London	UK	F01VVKN4DRF2NWKQGQ283
Imperial Capital (International) LLP	London	UK	213800L9V84UL88WAV68
ING Bank N.V	Amsterdam	The Netherlands	3TK20IVUJ8J3ZU0QE75
Instinet Europe Limited	London	UK	213800MXAKR2LA1VBM44
J.P. Morgan AG	Frankfurt am Main	Germany	549300ZK53CNGEEI6A29
J.P. Morgan Bank Luxembourg S.A	Luxembourg	Luxembourg	7W1GMC6J4KGLBBUSYP52
J.P. Morgan Chase Bank	New York	USA	7H6GLXDRUGQFU57RNE97
J.P. Morgan Markets Limited	London	UK	5493002SLHKG77FYU25
J.P. Morgan Securities plc	London	UK	K6Q0W1PS1L1O4IQL9C32
J_E Davy	Dublin	Ireland	63540061DPCBNMCGRY22
Jane Street Financial Limited	London	UK	549300ZHEHX8M31RP142
Jefferies International Limited	London	UK	S5THZMDUJCTQZBTRVI98
Joh. Berenberg, Gossler and Co. KG	Hamburg	Germany	529900UC20D7II24Z667
JPMorgan Chase Bank (China) Company Limited	Beijing	China	549300PJGF8BK9XKVB17
Jyske Bank AS	Silkeborg	Denmark	3M5E1GQGKL17HI6CPN30
KBC Bank NV	Brussels	Belgium	6B2PBRV1FCJDMR45R253
Kempen and Co N.V	Amsterdam	The Netherlands	20302V7616D3HTVSO60

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Kepler Cheuvreux	Paris	France	9695005EOZG9X8IRJD84
KNG Securities LLP	London	UK	213800GV7S9JSGKG9548
Kyte Broking Limited	London	UK	1ZU7M6R6N6PXYJ6V0C83
Landesbank Hessen-Thüringen Girozentrale	Frankfurt am Main	Germany	DIZES5CFO5K315R58746
LBBW Landesbank Baden-Württemberg	Stuttgart	Germany	B81CK4ESI35472RHJ606
Lind Capital Fondsmæglerselskab AS	Aarhus	Denmark	5299000RWD2J46DMCW33
Liquidnet EU Limited	Dublin	Ireland	2138005N5TO2UJR67136
Lloyds Bank Corporate Markets plc	London	UK	213800MBWEIJD5M5CU638
Luminex Trading and Analytics LLC	Boston, MA	USA	2549002BK50B4Y36MJ33
Macquarie Capital (Europe) Limited	London	UK	549300GX4FPMFF91RJ37
MainFirst Bank AG	Frankfurt am Main	Germany	529900MC68RTGHKI4F05
MarketAxess Capital Limited (MACL)	London	UK	529900CTXON8S5AOCB70
Maybank Kim Eng Securities Limited	Ho Chi Minh City	Vietnam	2549008OCTC9S985IM85
Mediobanca – Banca di Credito Finanziario S.p.A	Milan	Italy	PSNL19R2RX5U3QWHI44
Merrill Lynch International	London	UK	GGDZP1UYGU9STUHRDP48
Millennium Europe Ltd	London	UK	213800M4PALWKTSLI88
Mizuho International plc	London	UK	213800HZ54TG54H2KV03
Morgan Stanley and Co Intl PLC	London	UK	4PQUHN3JPFGNF3BB653
Morgan Stanley Europe SE	Frankfurt am Main	Germany	54930056FHWP7GIWYY08
MUFG Securities EMEA Plc	London	UK	U7M81AY481YLIOR75625
Natixis S.A.	Paris	France	KX1WK48MPD4Y2NCUIZ63
NatWest Markets Plc	Edinburgh	UK	RR3QWICWWIPCS8A4S074
Nomura International plc	London	UK	DGQCSV2PHVF7I2743539
Norddeutsche Landesbank Girozentrale	Hannover	Germany	DSNHHQ2B9X5N6OUJ1236
Nordea Bank Abp	Helsinki	Finland	529900ODI3047E2LIV03
Norne Securities AS	Bergen	Norway	5299001YA7DD6CGPIF92
Numis Securities Limited	London	UK	213800P3F4RT97WDSX47
Nykredit Bank AS	Copenhagen	Denmark	52965FONQ5NZKP0WZL45
ODDO BHF SCA	Paris	France	9695002I9DJHZ3449O66
OP Corporate Bank plc	Helsinki	Finland	549300NQ588N7RWKBP98
Oppenheimer Europe Ltd	London	UK	213800CH6I29J98PI559
Pareto Securities AS	Oslo	Norway	549300HQQDQHRJXR779
RBC Europe Ltd	London	UK	TXDSU46SXBWIGJ8G8E98
Renaissance Capital Limited	London	UK	213800RZ3GCUXMBGYN59
Sanford C. Bernstein Limited	London	UK	54930049G8WQ500USD19
Shore Capital Stockbrokers limited	London	UK	2138009KZO3MK7TV6372
Skandinaviska Enskilda Banken AB (publ)	Stockholm	Sweden	F3JS33DEI6XQ4ZBPTN86
Societe Generale SA	Paris	France	O2RNE8IBXP4R0TD8PU41
Spar Nord Bank AS	Aalborg	Denmark	549300DHT635Q5P8J715
SpareBank 1 SMN	Trondheim	Norway	7V6297IO7R1SEAO84Q32
SpareBank1 Markets AS	Oslo	Norway	5967007LIEEXZ5AEG57
Standard Chartered Bank	London	UK	RILFO74KP1CM8P6PCT96
Stifel Nicolaus Europe Limited	London	UK	213800BVEFNZ8UYPKL03
Svenska Handelsbanken AB Publ	Stockholm	Sweden	NHBDILHZTYCNBV5UYZ31
Swedbank AB	Stockholm	Sweden	M312WZV08Y7LYUC71685
Sydbank AS	Aabenraa	Denmark	GP5DT10VX1QRQUKVBK64
The Toronto Dominion Bank	Toronto	Canada	PT3QB789TSUIDF371261
Tradeweb Direct LLC	New York	USA	549300MII67E55YBJH61
UBS AG	Zurich	Switzerland	BFM8T61CT2L1QCCEMIK50
UBS Europe SE	Frankfurt am Main	Germany	5299007QVIQ7IO64NX37
UniCredit Bank AG	Munich	Germany	2ZCNR8UK83OBTEK2170
Virtu Financial Ireland Limited	Dublin	Ireland	549300XG5LFGN1IGYC71
Virtu ITG Europe Limited	Dublin	Ireland	213800EEC95PRUCEUP63
Wells Fargo Securities International Limited	London	UK	BWS7DNS2Z4NPKPNYKL75
Zürcher Kantonalbank	Zurich	Switzerland	165GRDQ39W63PHVONY02

**Brokers approved for Client Specific requests Only**

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AKTIESELSKABET ARBEJDERNES LANDSBANK	Copenhagen	Denmark	549300D6BJ7XOO03RR69
B. Metzler seel. Sohn and Co. Kommanditgesellschaft auf Aktien	Frankfurt am Main	Germany	529900IOG1ENLW4SUU53
BNP PARIBAS Securities Services	Paris	France	549300WCGB70D06XZS54
BOFA SECURITIES, INC	New York	USA	549300HN4UKV1E2R3U73
Citibank, National Association	New York	USA	E57ODZWZ7FF32TWEFA76
Deutsche Apotheker- und Ärztebank	Dusseldorf	Germany	5299007S3UH5RKUYDA52
Fideuram Bank Luxembourg SA	Luxembourg	Luxembourg	7S8518QCOP5IKHFFCP88
Goldman Sachs International Bank	London	UK	N9FYJ29MC81JI74MJE92
HPC SA	Paris	France	969500AMLHB21RACL168
HSBC Bank USA NA	New York	USA	1IE8VN30JCEQV1H4R804
HSBC Trinkaus Burkhardt AG	Dusseldorf	Germany	JUNT405OW8OY5GN4DX16
Mako Financial Markets Partnership LLP	London	UK	213800TPPPTYLS8Z364
NatWest Markest N.V	Amsterdam	The Netherlands	X3CZP3CK64YBHN1LE12
Nordea Bank SA	Luxembourg	Luxembourg	549300HRD8DR1Q6K8X60
ODDO BHF Aktiengesellschaft	Frankfurt am Main	Germany	529900XLAZ15LYK8XK27
RBC Investor Services Bank SA	Esch-sur-Alzette	Luxembourg	549300IVXKQHV607PV61
Societe Generale International Ltd	London	UK	OIKLU6X1B10WK7X42C15
Sparebanken Vest	Bergen	Norway	213800M7T3CVVZ3ZRT12
State Street Bank and Trust Company	Boston, MA	USA	571474TGEMMWANRLN572
State Street Bank International GMBH	Frankfurt am Main	Germany	ZMHGNT7ZPKZ3UFZ8EO46
The Bank of New York Mellon	New York	USA	HPFHU00Q28E4N0NFVK49
The Bank of New York Mellon SA NV	Brussels	Belgium	MMYXON4ZEZ13Z4XCG897
The Northern Trust Company	Chicago	USA	6PTKHDJ8HDUF78PFWH30

# Approved Venues

2019

Global Head of Trading, Thomas Vinding

25-03-2020

Venue name	MIC	Type	Instruments traded
Bloomberg Trading Facility B.V	BTFE	MTF	Cash bonds
			Credit default swaps ("CDS")
			Interest rate swaps ("IRS")
			Foreign exchange derivatives
MarketAxess NL B.V.	MANL	MTF	Cash bonds
			Credit default swaps ("CDS")
Tradeweb EU B.V	TWEM	MTF	Cash bonds
			Interest rate swaps ("IRS")
			Credit default swaps ("CDS")
Refinitiv MTF (EU)	FXRQ	MTF	FX spot
			FX Forwards
			Swaps
			Non-deliverable forwards (NDFs)
			Options